

Agenda Regulatory Committee Meeting

May 14, 2021 VIRTUAL 10:00 a.m.

Call to Order - Holly Tracy, LPC, LMFT, Committee Chairperson

- Welcome and Introductions
- Mission of the Board

Approval of Agenda

Approval of Minutes

Regulatory Committee Meeting – January 22, 2021*

Public Comment

The Committee will not receive comment on any pending regulation process for which a public comment period has closed or any pending or closed complaint or disciplinary matter.

Presentation: Recommendations for Telehealth Regulatory and Guidance Document Amendments --- LoriAnn S. Stretch, PHD, LCMHC-S, NCC, ACS, BC-TMH, Clinical Associate Professor, Online Counseling Program Coordinator, William & Mary School of Education

Consideration of Counseling Compact – Jaime Hoyle, Executive Director, Boards of Counseling, Psychology, and Social Work

Legislative and Regulatory Actions - Elaine Yeatts, Department of Health Professions Sr. Policy Analyst

- Chart of Regulatory Actions
- Update on Art Therapy Regulations

New Business

- Definition of Human Services Degree
- Code Change for Agency Subordinate Authority to Conduct Credential Reviews*

Next Meeting - August 6, 2021

Meeting Adjournment

^{*}Requires a Committee Vote. This information is in **DRAFT** form and is subject to change. The official agenda and packet will be approved by the public body at the meeting and will be available to the public pursuant to Virginia Code Section 2.2-3707(F).

Virginia Board of Counseling

<u>Instructions for Accessing May 14, 2021 Virtual Regulatory Meeting and Providing</u> Public Comment

- Access: Perimeter Center building access is closed to the public due to the COVID-19 pandemic. To observe this virtual meeting, use one of the options below. Participation capacity is limited and is on a first come, first serve basis due to the capacity of CISCO WebEx technology.
- **Public comment:** Comments will be received during the public comment period from those persons who have submitted an email to <u>jaime.hoyle@dhp.virginia.gov</u> no later than 5:00 pm on May 13, 2021 indicating that they wish to offer comment. Comment may be offered by these individuals when their names are announced by the Chairperson. Comments must be restricted to 3-5 minutes each.
- Public participation connections will be muted following the public comment periods.
- Please call from a location without background noise and ensure your line is muted.
- Dial (804) 938-6243 to report an interruption during the broadcast.
- FOIA Council *Electronic Meetings Public Comment* form for submitting feedback on this electronic meeting may be accessed at http://foiacouncil.dls.virginia.gov/sample%20letters/welcome.htm

JOIN WEBEX MEETING

https://virginia-dhp.my.webex.com/virginia-dhp.my/j.php?MTID=mbc25ad0c4056d64beeecbcbece970d72

Meeting number (access code): 132 539 4944

Meeting password: hPxPSU2mK32 (47977826 from phones and video systems)

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MISSION STATEMENT

Our mission is to ensure safe and competent patient care by licensing health professionals, enforcing standards of practice, and providing information to health care practitioners and the public.

VIRGINIA BOARD OF COUNSELING REGULATORY COMMITTEE MEETING DRAFT

Friday, January 22, 2021

TIME AND PLACE: Consistent with Amendment 28 to HB29 (the Budget Bill for 2018-

2020) and the applicable provisions of § 2.2-3708.2 in the Freedom of Information Act, the Committee convened the meeting virtually to consider such regulatory and business matters as are presented on the agenda necessary for the committee to discharge its lawful

purposes, duties, and responsibilities.

PRESIDING: Holly Tracy, LPC, LMFT, Chairperson

COMMITTEE MEMBERS

PRESENT:

Johnston Brendel, Ed.D, LPC, LMFT Kevin Doyle, Ed.D, LPC, LSATP

Vivian Sanchez-Jones, Citizen Member Terry Tinsley, PhD, LPC, LMFT, CSOTP

STAFF PRESENT: Sandie Cotman, Licensing Specialist

Victoria Cunningham, Licensing Specialist

Jaime Hoyle, JD, Executive Director Jennifer Lang, Deputy Executive Director

Charlotte Lenart, Deputy Executive Director-Licensing

Jared McDonough, Administrative Assistant Sharniece Vaughan, Licensing Specialist Elaine Yeatts, DHP Senior Policy Analyst

ADOPTION OF AGENDA: Dr. Doyle suggested that the Board add a discussion of the National

Board of Certified Counselors (NBCC) response to the Board related to their 90-day examination waiting period policy to the new business

section of the agenda.

APPROVAL OF MINUTES: Dr. Brendel moved to approve the minutes of the July 31, 2020

meeting. Dr. Tinsley, seconded the motion, and it passed

unanimously.

PUBLIC COMMENT: There were no public comments.

NEW BUSINESS: Multi-Systemic Therapy & Functional Family Therapy

Alyssa Ward, Ph.D., Behavioral Health Clinical Director, DMAS and Alexis Aplasca, MD, Chief Clinical Officer, DBHDS presented information on enhanced behavioral health services in Virginia. The Board appreciated the outreach and information provided by

DBHDS and DMAS.

Ms. Yeatts stated that for several months staff has had

conversations with DMAS and DBHDS on the appropriate role of QMHPs. The information initially provided gave staff reason to

question the scope of practice as envisioned in these programs for QMHPs. Dr. Ward confirmed that only QMHP-Cs would be eligible to be a part of the collaboration team.

Ms. Tracy stated that this topic will be added as a new business action item later in the meeting.

Regulatory Actions - Elaine Yeatts

Ms. Yeatts reviewed the chart of regulatory actions listed in the agenda packet and provided information on the General Assembly. Ms. Yeatts indicate that the big themes of the General Assembly has been around who can provide COVID vaccinations, the Governor's bill to legalize marijuana, and the expansion of the role of nurse practitioners.

<u>Adoption of Final Regulations on Unprofessional Conduct/</u> <u>Conversion Therapy – Elaine Yeatts</u>

Ms. Yeatts provided a summary of the public comments related to the proposed regulations on conversion therapy which are listed in the agenda packet. Ms. Yeatts provided information outlined in section 54.1-2409.5 of the Code of Virginia which states the prohibition against conversion therapy and a definition of conversation therapy.

Ms. Yeatts indicated that the definition of conversion therapy as listed in the propose regulations has been stricken and a new definition has been added to reference the definition of conversion therapy as listed in the Code of Virginia.

Dr. Brendel moved, which was properly seconded, to recommend to the full Board to adopt the draft language related to conversion therapy as presented. The motion carried with four votes in favor. Dr. Tinsley opposed the motion.

<u>Adoption of Final Regulations on Resident Licenses – Elaine</u> Yeatts

Ms. Yeatts provided information on the public comments, which all relate to allowing audio only to count toward residency hours during COVID, which are different from the requirements for the final regulations.

Dr. Brendel moved to recommend to the full board to recommend adopting final regulations as identical to the emergency regulations, and as written. The motion was seconded and carried unanimously.

Discussion of Reinstatement for Resident License

The Committee and staff discussed the need for and requirements for reinstatement of resident licenses. The Committee asked staff to research the industry standards and how other professions handle reinstatement of licenses after taking time off. Staff will provide their findings and suggestions at the next Committee meeting.

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Ms. Yeatts indicated that the Board can operate from the general section related to reinstatement on a case by case basis while the Board considers reinstatement requirements for resident licenses.

Adoption of Final Regulations resulting from the Periodic Review of the Regulations Governing the Certification of Rehabilitation Counselors – Elaine Yeatts

Dr. Brendel moved to recommend to the full board to recommend adopting final regulations as identical to the proposed regulations and as written. The motion was seconded and carried unanimously.

Consideration of petition for Rulemaking - Elaine Yeatts

Ms. Yeatts presented Ms. Burnett's petition for rule making request asking the Board to modify the endorsement section. The petitioner is requesting the Board to change the 24 of the last 60 months of post-licensure active practice to allow licensees to bypass the education and experience requirements.

Ms. Yeatts indicated that the public comments were mostly related to CACREP and indicated that this petition is not related to CACREP. The Committee needs to determine if they want to modify the endorsement requirements as requested by the petitioner.

Dr. Brendel moved to recommend to the full board to deny the petitioners request. The motion was seconded and carried unanimously.

Review of Guidance Document 115-4.3, Hours in an internship applied toward residency – Elaine Yeatts

Ms. Yeatts advised the Committee that they are required to review guidance documents every four years.

Dr. Brendel moved to recommend to the full Board to reaffirm the continuance of guidance document 115-4.3. The motion was seconded and carried unanimously.

<u>Development of Guidance Document regarding Independent</u> <u>Practice of CSACs – Jaime Hoyle</u>

Ms. Hoyle summarized the petitioners request in July 2020. The Board voted not to initiate rule making but referred the issue back to the regulatory committee to develop guidance to clarify the law and regulations in the form of a guidance document or FAQ.

Ms. Hoyle stated that she thinks the guidance document 115-11 and the Code of Virginia are clear that CSACs cannot practice autonomously.

Dr. Doyle stated this issue might be related to DMAS reimbursement of CSAC services. Dr. Doyle proposed that the

Committee recommend changing the regulations to add wording that specifically prohibits CSACs from billing directly for services, which may address the issue of autonomy.

Dr. Doyle moved to recommend to the full Board to initiate Fast-Track regulations to add language to the CSAC Regulations that prohibits CSACs from directly billing for services rendered. The motion was seconded and carried unanimously.

Staff will look at strengthening the guidance document and present their recommendations at the full Board meeting.

NBCC Responsiveness to the Board regarding their examination policy

The Committee discussed the Center for Credentialing & Education (CCE) and NBCCs responsiveness. Dr. Doyle recommended that a new letter be sent to Kylie Dotson-Blake, NBCC Chief Executive Officer with the Boards concerns related to applicants not being able to register for the examination until 90 days after they have failed the examination. Ms. Hoyle will draft a letter and work with Dr. Brendel on this issue.

<u>Discussion on DMAS and DBHDS presentation on Multi-</u> <u>Systemic Therapy & Functional Family Therapy</u>

The Committee discussed the presentation in detail.

The Regulatory Committee appreciated the information provided by DMAS and DBHDS. The two programs appear to be appropriate to the role of QMHP-Cs within a collaborated practice. The Code of Virginia defines a QMHP as working collaboratively, and these programs fit that definition. The Committee wanted to make sure that QMHP-Cs were not providing assessments or functioning as therapist, and that DMAS would develop differential rates based on education of the QMHP-C. Setting the rate does not prohibit bachelor's level QMHP-Cs to participate, but would encourage master level QMHP-Cs to participate.

Waiver for residents to be able to count telephonic/audio hours toward licensure

During a previous meeting, Ms. Hoyle notified the Committee that she planned to ask for waivers of some requirements for students and applicants during the pandemic when meeting these requirements has proved difficult. She asked the Committee for input, and the Committee previously asked for a waiver to allow up to 10% of the residency hours to be telephonic. Ms. Hoyle indicated that the waivers were approved by the Agency but appears to be in the Attorney General's Office for consideration.

After discussion of the public comments, the Committee asked Ms. Hoyle to contact the Attorney General's Office to advocate for additional hours beyond the 200 hours of telephonic/audio services previously requested.

NEXT SCHEDULED MEETING: The next Committee meeting is scheduled for April 23, 2021 at

10:00 a.m.

ADJOURNMENT: The meeting adjourned at 12:41 p.m.

Holly Tracy, LPC, LMFT Chairperson	Date		
Jaime Hoyle, JD Executive Director	Date		

Guidance document: 115-1.4 Revised: August 21, 2020 Revised: October 15, 2020

Virginia Board of Counseling

Guidance on Technology-Assisted Counseling and Technology-Assisted Supervision

The Board's regulations for Standards of Practice (18VAC115-20-130) are prefaced by the following:

The protection of the public health, safety, and welfare and the best interest of the public shall be the primary guide in determining the appropriate professional conduct of all persons whose activities are regulated by the board. Regardless of the delivery method, whether in person, by phone or electronically, these standards shall apply to the practice of counseling.

Therefore, the standards of practice set forth in section 130 of the regulations and in the Code of Virginia apply regardless of the method of delivery. The Board of Counseling recommends the following when a licensee uses technology-assisted counseling as the delivery method:

- 1. Counseling is most commonly offered in a face-to-face relationship. Counseling that from the outset is delivered in a technology-assisted manner may be problematic in that the counseling relationship, client identity and other issues may be compromised.
- 2. The counselor must take steps to protect client confidentiality and security.
- 3. The counselor should seek training or otherwise demonstrate expertise in the use of technology-assisted devices, especially in the matter of protecting confidentiality and security.
- 4. When working with a client who is not in Virginia, counselors are advised to check the regulations of the state board in which the client is located. It is important to be mindful that certain states prohibit counseling by an individual who is unlicensed by that state.
- 5. Counselors must follow the same code of ethics for technology-assisted counseling as they do in a traditional counseling setting.

Guidance for Technology-assisted Supervision

The Board of Counseling recommends the following in the use of technology-assisted supervision:

1. Supervision is most commonly offered in a face-to-face relationship. Supervision that from the outset is delivered in a technology-assisted manner may be problematic in that the supervisory relationship, client identity and other issues may be compromised. Face-to-face means the inperson delivery of clinical services. For the purposes of meeting the 2,000 hours of face-to-face client contact, in-person may include the use of secured technology that maintains client

Guidance document: 115-1.4 Revised: August 21, 2020 Revised: October 15, 2020

confidentiality and provides real-time, visual contact between the resident and the client. Telephonic services may be used toward ancillary counseling service hours.

- 2. The supervisor must take steps to protect resident confidentiality and security.
- 3. The supervisor should seek training or otherwise demonstrate expertise in the use of technology-assisted devices, especially in the matter of protecting resident confidentiality and security.
- 4. Supervisors must follow the same code of ethics for technology assisted supervision as they do in a traditional counseling/supervision setting. Licensed residents in counseling, marriage and family therapy and substance abuse treatment are allowed to provide tele-assisted counseling to clients in Virginia. The resident must adhere to standards of practice, ensure confidentiality, and seek training as needed to be competent in the services they provide.
- 5. The Board of Counseling governs the practice of counseling in Virginia. Counselors who are working with a client who is not in Virginia are advised to check the regulations of the state board in which a supervisee/resident is located. It is important to be mindful that certain states may regulate or prohibit supervision by an individual who is unlicensed by that state.



FREQUENTLY ASKED QUESTIONS

What is an interstate compact?

An interstate compact is a contract between two or more states creating an agreement on a particular policy issue, adopting a certain standard or cooperating on regional or national matters. Compacts are the most powerful, durable and adaptive tools for ensuring cooperative action among states. Unlike the rigid and often unfunded mandates imposed by the federal government, interstate compacts provide a state-developed structure for collaborative action and consensus-building among states and federal partners.

How many professions use an interstate compact to facilitate interstate practice?

Currently, licensure compacts exist for nurses, physicians, physical therapists, psychologists, emergency management personnel, speech-language pathologists and audiologists. Licensure compacts for occupational therapists and occupational therapy assistants, physician assistants, and advanced practice nurses are under development.

Are all occupational licensure compacts the same?

Not exactly, but most are similar in form and function. There are two types of occupational licensure compacts — the *expedited licensure* model and the *mutual recognition* model. The Interstate Medical Licensure Compact is the only expedited licensure compact. The remaining licensure compacts utilize the mutual recognition model, in which a practitioner's home state license is "mutually recognized" by other compact member states. Mutual recognition model compacts allow a practitioner to practice in the compact member states either using a multi-state license or by obtaining a "privilege to practice" (see below).

How does the Counseling Compact work?

The Counseling Compact is a mutual recognition model compact that is similar in form and function to occupational licensure compacts for nursing, physical therapy, psychology, and speech-language pathology and audiology. The Counseling Compact allows licensed professional counselors to practice in all other compact member states — either in-person or via telehealth — through a *privilege to practice*, which is equivalent to a license.

The Counseling Compact establishes an interstate commission, made up of delegates from compact member states, to administer the Compact. The Counseling Compact also creates a licensure data system for Compact member state boards to communicate and exchange information, including verification of licensure and disciplinary sanctions. An interstate commission and data system are standard features of all occupational licensure compacts.

What is a "privilege to practice"?

A privilege to practice is the authorization to practice in a compact member state other than your home state. To be eligible for a privilege to practice, you must hold an active professional counselor license in your home state (which must be a member of the compact) and meet other eligibility criteria, such as having no disciplinary action against your license for at least two years. When eligibility is verified, jurisprudence requirements are met, and all fees are paid, you receive the privilege to practice and may begin legally working in the new state.

What are the requirements for a privilege to practice?

A licensed professional counselor must notify the commission of their intent to seek the privilege to practice in another compact state, and meet the following criteria to get a privilege to practice:

- Have a Social Security Number or a National Provider Identifier
- Hold a valid license in their home state, which must be a member of the compact
- Have no encumbrances on any state license currently, and no adverse actions or restrictions against any license within the previous two years
- Pass an FBI Fingerprint-Based Criminal Background Check
- Meet any jurisprudence requirements for the member state in which they are seeking a privilege
- Complete any continuing education requirements required by their *home state* only
- Pay any fees for the privilege to practice

Privilege holders must adhere to the laws and regulations of the Compact member state in which they are practicing and report to the commission any adverse action taken by a non-member state within 30 days after the action is taken.

Does a privilege to practice allow the privilege holder to practice via telehealth in a remote state?

A privilege to practice allows the holder to provide professional counseling services in another member state under the scope of practice of the state where the client is located, whether the practice is in person or via telehealth. Privilege holders should consult laws and rules of the state in which they wish to practice in order to determine the specific telehealth requirements.

Do professional counselors have to complete continuing education requirements in states where they are practicing via privilege to practice?

No. Professional counselors utilizing the compact are only responsible for completing continuing education requirements for their home state license.

Do professional counselors need a separate privilege to practice for each state in which they want to provide counseling services?

Yes. A privilege to practice is not a multi-state license. A practitioner will need to get a privilege to practice in *each* state in which they want to provide counseling services.

A practitioner may work legally in a *member* state via either a license or a privilege to practice. A practitioner will need to hold a state-specific license to practice in *non-member* states.

What are the advantages of the Counseling Compact?

The Counseling Compact allows eligible professional counselors to practice in all states that join the Compact. It removes the need for practitioners to get a license in each Compact state in which they want to practice. The goal of the Counseling Compact, like all licensure compacts, is to eliminate barriers to practice and to client care by ensuring cooperation among member-state regulatory boards.

Other benefits include:

- Preserving and strengthening state licensure systems
- Enhancing public safety
- Improving access to professional counseling services
- Increasing market opportunities for professional counselors by authorizing both in-person practice and telehealth
- Enhancing mobility of professional counselors
- Supporting relocating military spouses
- Improving continuity of care when clients travel or relocate
- Encouraging cooperation among Compact member states in regulating the practice of professional counseling

How can a state/jurisdiction become a member of the Counseling Compact?

Each state's legislature must enact the Counseling Compact language into law to become a member of the Compact.

Why is the Counseling Compact important to consumers?

Through the Counseling Compact, consumers have greater access to care. The Counseling Compact allows licensed professional counselors to ensure continuity of care when clients relocate. Professional counselors also will be able to reach populations that are currently underserved, geographically isolated or lack specialty care.

Additionally, states gain a supplementary layer of oversight of professional counselors who may enter their state to practice. The Counseling Compact data system will allow member states to verify instantaneously that professional counselors based in other states have met defined standards and competencies and are in good standing with other states' regulatory boards. The Counseling Compact data system will help states better protect the public.





FACT SHEET: PRACTITIONERS AND THE COUNSELING COMPACT

The **Counseling Compact** will allow professional counselors in good standing to practice in *all states that join the compact*. This will remove the hurdle of getting an individual license in each state where they want to practice. The broad goal is to eliminate barriers to practice for counselors and barriers to treatment for clients, by ensuring cooperation among member states in regulating the counseling profession.

THE BASICS

- The Counseling Compact is an *interstate compact*, which is a constitutionally authorized contract between states.
- The Counseling Compact is the same in form and function as other occupational licensure compacts like the Nurse Licensure Compact, the Physical Therapy Compact, and the Interstate Medical Licensure Compact.
- The Counseling Compact authorizes in-person practice and telepractice in other compact member states based on a valid, unrestricted home state license.
- The practice of professional counseling takes place in the state in which the client is located at the time of the counselor-client encounter. Counselors must observe the laws and rules of the state in which they are practicing.
- The Counseling Compact will take effect when 10 states have enacted authorizing legislation.
- The National Center for Interstate Compacts at the Council of State Governments facilitated the development of the Compact and is providing technical assistance.

BENEFITS

- Preserves and strengthens state licensure systems
- Enhances public safety through a shared interstate database of licensure and disciplinary information, allowing for rapid verification of license status
- Improves access to professional counseling services
- Increases market opportunities for professional counselors by authorizing practice in all member states (including via telehealth)
- Enhances mobility for professional counselors
- Supports relocating military spouses
- Improves continuity of care when counselors or clients travel or relocate
- Ensures cooperation among compact member states in regulating the practice of professional counseling

DISPELLING THE MYTHS

- The compact will have no impact on scope of practice; state counseling practice acts will not be affected.
- Professional counselors are licensed in all 50 states, with consistency in licensure requirements.
- The compact will not affect the authority of states to protect public health and safety or to regulate the counseling profession as they do currently.
- There is no financial beneficiary of the Counseling Compact, and it is not intended to generate profits. Any fees collected will offset basic administrative costs.

WHAT'S NEXT?

- Interstate compacts require a great deal of time to develop and implement.
- Each state must enact the Counseling Compact model legislation into its statutes in order to join the Compact.
- The goal is for this legislation to be introduced in several states during the 2021 legislative sessions, following a months-long stakeholder review and revision process (during fall 2020) of the draft legislation.
- The Counseling Compact will take effect when 10 states have enacted legislation. The goal is to begin state participation by 2024.





FACT SHEET: STATES AND THE COUNSELING COMPACT

The **Counseling Compact** will allow qualified professional counselors to practice in *all states that join the compact*. This will remove the need for counselors to obtain a separate license in each state in which they want to practice.

THE BASICS

- The Counseling Compact is an *interstate compact* a constitutionally authorized, legally binding contract between states.
- The Counseling Compact is the same in form and function as other occupational licensure compacts like the Nurse Licensure Compact, the EMS Compact, the Physical Therapy Compact, and the Interstate Medical Licensure Compact.
- The Counseling Compact authorizes interstate practice, both in-person and through telehealth, by professional counselors who hold a valid, unrestricted home state license in a Compact member state.
- The practice of professional counseling takes place in the state in which the client is located at the time of the counselor-client encounter. Counselors must observe the laws and rules of the state in which they are practicing.
- The Counseling Compact takes effect upon its enactment by ten states.
- The National Center for Interstate Compacts at the Council of State Governments facilitated the development of the Counseling Compact and is providing technical assistance to states as they consider the Compact.

BENEFITS

- Preserves and strengthens state licensure systems
- Enhances public safety through a shared interstate database of licensure and disciplinary information, allowing for rapid verification of license status
- Improves access to professional counseling services
- Increases market opportunities for professional counselors by authorizing practice in member states, including via telehealth
- Enhances mobility for professional counselors
- Supports relocating military spouses
- Improves continuity of care when clients travel or relocate
- Ensures cooperation among compact member states in regulating the practice of professional counseling

DISPELLING THE MYTHS

- As with the existing licensure compacts, the Counseling Compact has no impact on a state's scope of practice this is not a
 takeover of state regulatory authority.
- As with existing licensure compacts, the Counseling Compact leaves state-specific licensure requirements in place this is *not* a takeover of state licensing systems.
- The Counseling Compact enhances states' authority to protect the public and regulate the counseling profession.
- The Counseling Compact will have no significant fiscal implications for states.



SUMMARY OF KEY PROVISIONS

SECTION 1: PURPOSE

The purpose of this compact is to facilitate interstate practice of licensed professional counseling with the goal of improving public access to professional counseling services.

The compact preserves the regulatory authority of states to protect public health and safety through the current system of state licensure.

The compact is designed to:

- Provide for the mutual recognition of other member state licenses.
- Enhance states' abilities to protect the public's health and safety.
- Encourage the cooperation of member states in regulating multistate practice for licensed professional counselors.
- Support active duty military personnel and their spouses.
- Enhance the exchange of licensure, investigative, and disciplinary information among member states.
- Allow for the use of telehealth technology to increase access to counseling services.
- Support the uniformity of professional counseling licensure requirements throughout the states.
- Eliminate the necessity for licenses in multiple states.
- Facilitate interstate practice by licensed professional counselors who meet uniform requirements.

SECTION 2: DEFINITIONS

Establishes the definitions of key terms as used throughout the compact, to alleviate confusion on the part of practitioners and jurisdictions. Defined terms are capitalized throughout the document.

SECTION 3: STATE PARTICIPATION IN THE COMPACT

This section establishes the duties of the compact's member states.

A member state must:

- License and regulate licensed professional counselors.
- Require licensees to pass a nationally recognized exam.
- Require licensees to have a 60-hour master's degree in counseling or 60 hours of graduate coursework in relevant
- Require licensees to complete a supervised postgraduate professional experience.

- Have a mechanism in place for receiving and investigating complaints about licensees.
- Participate fully in the compact commission's licensure data system.
- Notify the commission of any adverse action against or current significant investigative information regarding a licensee.
- Conduct criminal background checks of candidates for an initial privilege to practice.
- Comply with the rules of the commission, the governing body of the compact.
- Grant the privilege to practice professional counseling to a licensee holding a valid, unencumbered license in another member state.
- Provide for the state's commissioner to attend the meetings of the commission.

Member states may charge a fee for granting the privilege to practice.

A licensed professional counselor may only utilize the compact if their home state joins the compact.

SECTION 4: PRIVILEGE TO PRACTICE

To exercise the privilege to practice professional counseling in a remote state, a licensee must:

- Hold a license in their home state, which must be a member of the compact.
- Have had no encumbrance or restriction against on any license or privilege to practice within the previous two years.
- Meet any jurisprudence requirements of the remote state and pay all applicable fees.
- Report to the commission any adverse action, encumbrance, or restriction imposed on the licensee by a non-member state within 30 days from the date of the action.

A privilege to practice is valid until the expiration date of the practitioner's home state license.

If a licensee's home state license is revoked, the licensee loses the privilege to practice in *all* member states for the next two years.

If a licensee' privilege to practice is revoked by a member state, the licensee *may* lose the privilege to practice in other member states for the next two years.

SECTION 5: OBTAINING A NEW HOME STATE LICENSE BASED ON A PRIVILEGE TO PRACTICE

This section creates an alternative pathway to licensure for privilege holders who change their primary state of residence between compact member states.

A licensee who moves from one member state to another member state may obtain a new, expedited home state license in the new state of residence if they hold a privilege to practice in the new state.

The licensee will be required to complete a new FBI fingerprint based criminal background check, any required state-level background check, and any jurisprudence requirements of the new home state.

If a practitioner moves from a non-member state to a member state, or from a member state to a non-member state, the practitioner must apply for a single-state license in the new state, under the new state's licensure requirements.

A licensee may hold more than one single-state license concurrently, but only the license tied to the individual's primary state of residence may serve as the individual's "home state license" for the purposes of the compact.

Nothing in the compact affects a member state's requirements for issuance of a single-state license.

SECTION 6: ACTIVE DUTY MILITARY PERSONNEL OR THEIR SPOUSES

This section allows an active duty servicemember, or their spouse, to designate a home state where the individual has a current license in good standing. This state then serves as the individual's home state for the duration of the servicemember's active duty.

SECTION 7: COMPACT PRIVILEGE TO PRACTICE TELEHEALTH

This section establishes that privilege to practice under the compact shall include provision of telehealth services to patients in remote states. Licensees providing telehealth services in a remote state must adhere to the laws and regulations, including scope of practice, of the remote state.

SECTION 8: ADVERSE ACTIONS

This section clarifies that *only* a practitioner's home state may take adverse action against a *home state* license.

However, remote states may take adverse action against a counselor's privilege to practice and may issue enforceable subpoenas for witnesses and evidence from other member states.

Home states must take reported adverse action from any member state into account, in accordance with the home state's laws.

Member states may initiate joint investigations of licensees and are required to share investigative materials in furtherance of any joint or single-state investigation of a licensee. Member states must report any adverse action to the compact data system, which then promptly alerts the home state of this adverse action. Any member state may take adverse action based on the factual findings of a remote state.

If a licensee changes their home state during an active investigation by their former home state, the former home state completes the investigation, takes appropriate action under its laws, and then reports its findings to the compact commission's data system.

Member states retain the right to require a licensee to participate in an alternative program for mental health-related concerns in lieu of adverse action.

SECTION 9: ESTABLISHMENT OF COUNSELING COMPACT COMMISSION

This section outlines the composition and powers of the compact commission and executive committee. The compact is not a waiver of sovereign immunity.

- Each member state is entitled to exactly one delegate selected by that state's licensing board from among the board's members and/or employees.
- Each delegate has one (1) vote on commission affairs.
- The commission is directed to establish a term of office for delegates and may establish term limits.
- The commission may establish and maintain a code of ethics, bylaws, rules, a budget and financial records in order to carry out the compact.
- The commission shall elect an executive committee composed of up to eleven members: seven members of the commission and up to four ex-officio, nonvoting members from four recognized national professional counselor organizations.
- All commission meetings shall be open to the public unless confidential or privileged information must be discussed.
- Commission members and employees are immune from liability related to their positions except in cases of wanton misconduct.

SECTION 10: DATA SYSTEM

This section requires the sharing of licensure information by all compact states. A member state shall submit a uniform dataset to the data system on all counselors to whom this compact is applicable as required by the rules of the commission. This database will allow for the expedited sharing of adverse action or significant investigative information against professional counselors utilizing the compact.

Adverse action information pertaining to a licensee in any member state will be available to any other member state, except that any submitted information that subsequently must be expunged from the submitting state's records will also be removed from the data system.

Member states may designate information submitted to the data system that may not be shared with the public without the express permission of the state in question.

Investigative information pertaining to a licensee in a member state shall not be available to non-member states.

SECTION 11: RULEMAKING

- Rules carry the force of law in all member states.
- A simple majority of member state legislatures may veto a rule of the commission.
- Changes to the rules require a 30-day notice of proposed rulemaking, with an opportunity for a public hearing if one
 is requested by 25 people or by a government agency.
- If the commission issues a rule that exceeds its authority under the compact, such a rule shall be void and have no force or effect.

SECTION 12: OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT

Ensures compliance with the compact by member states. The procedures to be followed in the event of a failure by a member state to comply with the compact include:

- A period of technical assistance in remedying the situation
- Dispute resolution processes; and
- Termination from the compact in the event no other means of compliance has been successful.

The commission shall attempt to resolve any compact-related disputes that may arise between states.

SECTION 13: DATE OF IMPLEMENTATION, WITHDRAWAL, AND AMENDMENT

The compact takes effect on the date of enactment by the tenth state.

States that join after this date are subject to the rules of the commission as they exist on the date when the compact becomes law in that state.

Member states may enact a law to repeal their membership in the compact. A state's withdrawal takes effect 6 months after enactment of such a law.

The member states may amend the compact, but changes do not take effect until enacted into the laws of all member states.

SECTION 14: CONSTRUCTION AND SEVERABILITY

The compact is to be liberally construed so as to effectuate its purposes.

The compact's provisions are severable, meaning that:

- If a provision of the compact is declared to conflict with the United States Constitution, all other provisions remain valid for all member states, and
- If a provision is held contrary to a member state's constitution, the compact retains its full force in all other states, and all other provisions remain valid in the affected state.

SECTION 15: BINDING EFFECT OF COMPACT AND OTHER LAWS

Reiterates that licensees must adhere to the laws and regulations, including scope of practice, of the state in which they are practicing.

Reiterates that all rules and bylaws of the commission are binding on member states.

According to legal precedent, in the event of a conflict between a law of a member state and the compact, the state law is superseded to the extent of the conflict.

COUNSELING COMPACT MODEL LEGISLATION

1 **SECTION 1: PURPOSE**

- 2 The purpose of this Compact is to facilitate interstate practice of Licensed Professional
- 3 Counselors with the goal of improving public access to Professional Counseling services.
- 4 The practice of Professional Counseling occurs in the State where the client is located at the
- 5 time of the counseling services. The Compact preserves the regulatory authority of States to
- 6 protect public health and safety through the current system of State licensure.
- 7 This Compact is designed to achieve the following objectives:
- A. Increase public access to Professional Counseling services by providing for the mutual recognition of other Member State licenses;
- 10 B. Enhance the States' ability to protect the public's health and safety;
- 11 C. Encourage the cooperation of Member States in regulating multistate practice for Licensed Professional Counselors:
- D. Support spouses of relocating Active Duty Military personnel;
- E. Enhance the exchange of licensure, investigative, and disciplinary information among
 Member States;
- F. Allow for the use of Telehealth technology to facilitate increased access to
 Professional Counseling services;
- G. Support the uniformity of Professional Counseling licensure requirements throughout
 the States to promote public safety and public health benefits;
- H. Invest all Member States with the authority to hold a Licensed Professional Counselor accountable for meeting all State practice laws in the State in which the client is located at the time care is rendered through the mutual recognition of Member State licenses;
- 24 I. Eliminate the necessity for licenses in multiple States; and
- J. Provide opportunities for interstate practice by Licensed Professional Counselors who
 meet uniform licensure requirements.

27 **SECTION 2. DEFINITIONS**

- As used in this Compact, and except as otherwise provided, the following definitions shall
- 29 apply:
- 30 A. "Active Duty Military" means full-time duty status in the active uniformed service of the
- United States, including members of the National Guard and Reserve on active duty orders
- 32 pursuant to 10 U.S.C. Chapters 1209 and 1211.
- 33 B. "Adverse Action" means any administrative, civil, equitable or criminal action permitted
- by a State's laws which is imposed by a licensing board or other authority against a
- 35 Licensed Professional Counselor, including actions against an individual's license or
- Privilege to Practice such as revocation, suspension, probation, monitoring of the licensee,
- 37 limitation on the licensee's practice, or any other Encumbrance on licensure affecting a
- 38 Licensed Professional Counselor's authorization to practice, including issuance of a cease
- 39 and desist action.
- 40 C. "Alternative Program" means a non-disciplinary monitoring or practice remediation
- 41 process approved by a Professional Counseling Licensing Board to address Impaired
- 42 Practitioners.
- D. "Continuing Competence/Education" means a requirement, as a condition of license
- 44 renewal, to provide evidence of participation in, and/or completion of, educational and
- professional activities relevant to practice or area of work.
- 46 E. "Counseling Compact Commission" or "Commission" means the national
- 47 administrative body whose membership consists of all States that have enacted the
- 48 Compact.
- 49 F. "Current Significant Investigative Information" means:
- 50 1. Investigative Information that a Licensing Board, after a preliminary inquiry that
- 51 includes notification and an opportunity for the Licensed Professional Counselor
- to respond, if required by State law, has reason to believe is not groundless and,
- if proved true, would indicate more than a minor infraction; or
- 54 2. Investigative Information that indicates that the Licensed Professional Counselor
- represents an immediate threat to public health and safety regardless of whether

- the Licensed Professional Counselor has been notified and had an opportunity to respond.
- G. "Data System" means a repository of information about Licensees, including, but not
 limited to, continuing education, examination, licensure, investigative, Privilege to Practice
 and Adverse Action information.
- H. "Encumbered License" means a license in which an Adverse Action restricts the
 practice of licensed Professional Counseling by the Licensee and said Adverse Action has
 been reported to the National Practitioners Data Bank (NPDB).
- 64 I. **"Encumbrance"** means a revocation or suspension of, or any limitation on, the full and unrestricted practice of Licensed Professional Counseling by a Licensing Board.
- Governormely 3. "Executive Committee" means a group of directors elected or appointed to act on behalfof, and within the powers granted to them by, the Commission.
- 68 K. "Home State" means the Member State that is the Licensee's primary State of residence.
- 69 L. "Impaired Practitioner" means an individual who has a condition(s) that may impair their 70 ability to practice as a Licensed Professional Counselor without some type of intervention 71 and may include, but are not limited to, alcohol and drug dependence, mental health 72 impairment, and neurological or physical impairments.
- M. "Investigative Information" means information, records, and documents received or generated by a Professional Counseling Licensing Board pursuant to an investigation.
- N. "Jurisprudence Requirement" if required by a Member State, means the assessment of
 an individual's knowledge of the laws and Rules governing the practice of Professional
 Counseling in a State.
- O. "Licensed Professional Counselor" means a counselor licensed by a Member State, regardless of the title used by that State, to independently assess, diagnose, and treat behavioral health conditions.
- P. "Licensee" means an individual who currently holds an authorization from the State to practice as a Licensed Professional Counselor.
- Q. "Licensing Board" means the agency of a State, or equivalent, that is responsible for the
 licensing and regulation of Licensed Professional Counselors.

- 85 R. "Member State" means a State that has enacted the Compact.
- 86 S. "Privilege to Practice" means a legal authorization, which is equivalent to a license, permitting the practice of Professional Counseling in a Remote State.
- T. "Professional Counseling" means the assessment, diagnosis, and treatment of behavioral health conditions by a Licensed Professional Counselor.
- 90 U. "Remote State" means a Member State other than the Home State, where a Licensee is exercising or seeking to exercise the Privilege to Practice.
- 92 V. "Rule" means a regulation promulgated by the Commission that has the force of law.
- W. "Single State License" means a Licensed Professional Counselor license issued by a
 Member State that authorizes practice only within the issuing State and does not include a
 Privilege to Practice in any other Member State.
- % Y. "State" means any state, commonwealth, district, or territory of the United States of
 America that regulates the practice of Professional Counseling.
- Y. "Telehealth" means the application of telecommunication technology to deliver
 Professional Counseling services remotely to assess, diagnose, and treat behavioral
 health conditions.
- 101 Z. "Unencumbered License" means a license that authorizes a Licensed Professional
 102 Counselor to engage in the full and unrestricted practice of Professional Counseling.

SECTION 3. STATE PARTICIPATION IN THE COMPACT

104 A. To Participate in the Compact, a State must currently:

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- License and regulate Licensed Professional Counselors;
- Require Licensees to pass a nationally recognized exam approved by the
 Commission;
 - 3. Require Licensees to have a 60 semester-hour (or 90 quarter-hour) master's degree in counseling or 60 semester-hours (or 90 quarter-hours) of graduate course work including the following topic areas:
 - a. Professional Counseling Orientation and Ethical Practice;

112		b. Social and Cultural Diversity;		
113		c. Human Growth and Development;		
114		d. Career Development;		
115		e. Counseling and Helping Relationships;		
116		f. Group Counseling and Group Work;		
117		g. Diagnosis and Treatment; Assessment and Testing;		
118		h. Research and Program Evaluation; and		
119		i. Other areas as determined by the Commission.		
120 121	4.	Require Licensees to complete a supervised postgraduate professional experience as defined by the Commission;		
122 123	5.	Have a mechanism in place for receiving and investigating complaints about Licensees.		
124	B. A Mer	mber State shall:		
125 126	1.	Participate fully in the Commission's Data System, including using the Commission's unique identifier as defined in Rules;		
127 128 129	2.	Notify the Commission, in compliance with the terms of the Compact and Rules, of any Adverse Action or the availability of Investigative Information regarding a Licensee;		
130 131 132	3.	Implement or utilize procedures for considering the criminal history records of applicants for an initial Privilege to Practice. These procedures shall include the submission of fingerprints or other biometric-based information by applicants for		
133134		the purpose of obtaining an applicant's criminal history record information from the Federal Bureau of Investigation and the agency responsible for retaining that		
135		State's criminal records;		
136		a. A member state must fully implement a criminal background check		
137		requirement, within a time frame established by rule, by receiving the		
138		results of the Federal Bureau of Investigation record search and shall use		

139 the results in making licensure decisions. 140 b. Communication between a Member State, the Commission and among 141 Member States regarding the verification of eligibility for licensure through 142 the Compact shall not include any information received from the Federal 143 Bureau of Investigation relating to a federal criminal records check 144 performed by a Member State under Public Law 92-544. 145 4. Comply with the Rules of the Commission; 146 5. Require an applicant to obtain or retain a license in the Home State and meet 147 the Home State's qualifications for licensure or renewal of licensure, as well as 148 all other applicable State laws; 149 6. Grant the Privilege to Practice to a Licensee holding a valid Unencumbered 150 License in another Member State in accordance with the terms of the Compact 151 and Rules; and 152 7. Provide for the attendance of the State's commissioner to the Counseling 153 Compact Commission meetings. 154 C. Member States may charge a fee for granting the Privilege to Practice. 155 D. Individuals not residing in a Member State shall continue to be able to apply for a Member 156 State's Single State License as provided under the laws of each Member State. However, 157 the Single State License granted to these individuals shall not be recognized as granting a 158 Privilege to Practice Professional Counseling in any other Member State. 159 E. Nothing in this Compact shall affect the requirements established by a Member State for the 160 issuance of a Single State License. 161 F. A license issued to a Licensed Professional Counselor by a Home State to a resident in 162 that State shall be recognized by each Member State as authorizing a Licensed 163 Professional Counselor to practice Professional Counseling, under a Privilege to Practice, 164 in each Member State.

SECTION 4. PRIVILEGE TO PRACTICE

- A. To exercise the Privilege to Practice under the terms and provisions of the Compact, the Licensee shall:
- 1. Hold a license in the Home State;

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- Have a valid United States Social Security Number or National Practitioner
 Identifier;
- 3. Be eligible for a Privilege to Practice in any Member State in accordance with Section 4(D), (G) and (H);
 - Have not had any Encumbrance or restriction against any license or Privilege to Practice within the previous two (2) years;
 - Notify the Commission that the Licensee is seeking the Privilege to Practice within a Remote State(s);
 - 6. Pay any applicable fees, including any State fee, for the Privilege to Practice;
- 7. Meet any Continuing Competence/Education requirements established by the
 Home State:
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 8. Meet any Jurisprudence Requirements established by the Remote State(s) in
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 which the Licensee is seeking a Privilege to Practice; and
- 9. Report to the Commission any Adverse Action, Encumbrance, or restriction on license taken by any non-Member State within 30 days from the date the action is taken.
- B. The Privilege to Practice is valid until the expiration date of the Home State license. The Licensee must comply with the requirements of Section 4(A) to maintain the Privilege to Practice in the Remote State.
- C. A Licensee providing Professional Counseling in a Remote State under the Privilege to
 Practice shall adhere to the laws and regulations of the Remote State.
- D. A Licensee providing Professional Counseling services in a Remote State is subject to
 that State's regulatory authority. A Remote State may, in accordance with due process
 and that State's laws, remove a Licensee's Privilege to Practice in the Remote State for a

193 194 195 196		specific period of time, impose fines, and/or take any other necessary actions to protect the health and safety of its citizens. The Licensee may be ineligible for a Privilege to Practice in any Member State until the specific time for removal has passed and all fines are paid.			
197 198	E.	. If a Home State license is encumbered, the Licensee shall lose the Privilege to Practice in any Remote State until the following occur:			
199		1. The Home State license is no longer encumbered; and			
200 201		 Have not had any Encumbrance or restriction against any license or Privilege to Practice within the previous two (2) years. 			
202203204	F.	. Once an Encumbered License in the Home State is restored to good standing, the Licensee must meet the requirements of Section 4(A) to obtain a Privilege to Practice in any Remote State.			
205 206	G.	If a Licensee's Privilege to Practice in any Remote State is removed, the individual may lose the Privilege to Practice in all other Remote States until the following occur:			
207 208		 The specific period of time for which the Privilege to Practice was removed has ended; 			
209		2. All fines have been paid; and			
210 211		 Have not had any Encumbrance or restriction against any license or Privilege to Practice within the previous two (2) years. 			
212 213	H.	Once the requirements of Section 4(G) have been met, the Licensee must meet the requirements in Section 4(A) to obtain a Privilege to Practice in a Remote State.			
214 215		ECTION 5: OBTAINING A NEW HOME STATE LICENSE BASED ON A RIVILEGE TO PRACTICE			
216 217	A.	A Licensed Professional Counselor may hold a Home State license, which allows for a Privilege to Practice in other Member States, in only one Member State at a time.			
218	В.	If a Licensed Professional Counselor changes primary State of residence by moving			

between two Member States:

 The Licensed Professional Counselor shall file an application for obtaining a new Home State license based on a Privilege to Practice, pay all applicable fees, and notify the current and new Home State in accordance with applicable Rules adopted by the Commission.

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- 2. Upon receipt of an application for obtaining a new Home State license by virtue of a Privilege to Practice, the new Home State shall verify that the Licensed Professional Counselor meets the pertinent criteria outlined in Section 4 via the Data System, without need for primary source verification except for:
 - a. a Federal Bureau of Investigation fingerprint based criminal background check if not previously performed or updated pursuant to applicable rules adopted by the Commission in accordance with Public Law 92-544;
 - b. other criminal background check as required by the new Home State; and
 - c. completion of any requisite Jurisprudence Requirements of the new Home State.
- 3. The former Home State shall convert the former Home State license into a Privilege to Practice once the new Home State has activated the new Home State license in accordance with applicable Rules adopted by the Commission.
- 4. Notwithstanding any other provision of this Compact, if the Licensed Professional Counselor cannot meet the criteria in Section 4, the new Home State may apply its requirements for issuing a new Single State License.
- 5. The Licensed Professional Counselor shall pay all applicable fees to the new Home State in order to be issued a new Home State license.
- C. If a Licensed Professional Counselor changes Primary State of Residence by moving from a
 Member State to a non-Member State, or from a non-Member State to a Member State, the
 State criteria shall apply for issuance of a Single State License in the new State.
- D. Nothing in this Compact shall interfere with a Licensee's ability to hold a Single State
 License in multiple States, however for the purposes of this Compact, a Licensee shall have
 only one Home State license.
- E. Nothing in this Compact shall affect the requirements established by a Member State for the issuance of a Single State License.

SECTION 6. ACTIVE DUTY MILITARY PERSONNEL OR THEIR SPOUSES

Active Duty Military personnel, or their spouse, shall designate a Home State where the individual has a current license in good standing. The individual may retain the Home State designation during the period the service member is on active duty. Subsequent to designating a Home State, the individual shall only change their Home State through application for licensure in the new State, or through the process outlined in Section 5.

SECTION 7. COMPACT PRIVILEGE TO PRACTICE TELEHEALTH

- A. Member States shall recognize the right of a Licensed Professional Counselor, licensed by a
 Home State in accordance with Section 3 and under Rules promulgated by the Commission,
 to practice Professional Counseling in any Member State via Telehealth under a Privilege to
 Practice as provided in the Compact and Rules promulgated by the Commission.
- B. A Licensee providing Professional Counseling services in a Remote State under the Privilege to Practice shall adhere to the laws and regulations of the Remote State.

SECTION 8. ADVERSE ACTIONS

- A. In addition to the other powers conferred by State law, a Remote State shall have the authority, in accordance with existing State due process law, to:
 - Take Adverse Action against a Licensed Professional Counselor's Privilege to Practice within that Member State, and
 - 2. Issue subpoenas for both hearings and investigations that require the attendance and testimony of witnesses as well as the production of evidence. Subpoenas issued by a Licensing Board in a Member State for the attendance and testimony of witnesses or the production of evidence from another Member State shall be enforced in the latter State by any court of competent jurisdiction, according to the practice and procedure of that court applicable to subpoenas issued in proceedings pending before it. The issuing authority shall pay any witness fees, travel expenses, mileage, and other fees required by the service statutes of the State in which the witnesses or evidence are located.
 - 3. Only the Home State shall have the power to take Adverse Action against a Licensed Professional Counselor's license issued by the Home State.

- B. For purposes of taking Adverse Action, the Home State shall give the same priority and effect to reported conduct received from a Member State as it would if the conduct had occurred within the Home State. In so doing, the Home State shall apply its own State laws to determine appropriate action.
- C. The Home State shall complete any pending investigations of a Licensed Professional
 Counselor who changes primary State of residence during the course of the investigations.
 The Home State shall also have the authority to take appropriate action(s) and shall
 promptly report the conclusions of the investigations to the administrator of the Data
 System. The administrator of the coordinated licensure information system shall promptly
 notify the new Home State of any Adverse Actions.
- D. A Member State, if otherwise permitted by State law, may recover from the affected Licensed Professional Counselor the costs of investigations and dispositions of cases resulting from any Adverse Action taken against that Licensed Professional Counselor.
- E. A Member State may take Adverse Action based on the factual findings of the Remote
 State, provided that the Member State follows its own procedures for taking the Adverse
 Action.
- 295 F. Joint Investigations:

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- 1. In addition to the authority granted to a Member State by its respective Professional Counseling practice act or other applicable State law, any Member State may participate with other Member States in joint investigations of Licensees.
- Member States shall share any investigative, litigation, or compliance materials in furtherance of any joint or individual investigation initiated under the Compact.
- G. If Adverse Action is taken by the Home State against the license of a Licensed Professional Counselor, the Licensed Professional Counselor's Privilege to Practice in all other Member States shall be deactivated until all Encumbrances have been removed from the State license. All Home State disciplinary orders that impose Adverse Action against the license of a Licensed Professional Counselor shall include a Statement that the Licensed Professional Counselor's Privilege to Practice is deactivated in all Member States during the pendency of the order.

309 H. If a Member State takes Adverse Action, it shall promptly notify the administrator of the 310 Data System. The administrator of the Data System shall promptly notify the Home State 311 of any Adverse Actions by Remote States. 312 I. Nothing in this Compact shall override a Member State's decision that participation in an 313 Alternative Program may be used in lieu of Adverse Action. 314 SECTION 9. ESTABLISHMENT OF COUNSELING COMPACT COMMISSION 315 A. The Compact Member States hereby create and establish a joint public agency known as 316 the Counseling Compact Commission: 317 1. The Commission is an instrumentality of the Compact States. 318 2. Venue is proper and judicial proceedings by or against the Commission shall be 319 brought solely and exclusively in a court of competent jurisdiction where the principal 320 office of the Commission is located. The Commission may waive venue and 321 jurisdictional defenses to the extent it adopts or consents to participate in alternative 322 dispute resolution proceedings. 323 3. Nothing in this Compact shall be construed to be a waiver of sovereign immunity. 324 B. Membership, Voting, and Meetings 325 1. Each Member State shall have and be limited to one (1) delegate selected by that 326 Member State's Licensing Board. 327 2. The delegate shall be either: 328 a. A current member of the Licensing Board at the time of appointment, who is a 329 Licensed Professional Counselor or public member; or 330 b. An administrator of the Licensing Board. 331 3. Any delegate may be removed or suspended from office as provided by the law of 332 the State from which the delegate is appointed. 333 4. The Member State Licensing Board shall fill any vacancy occurring on the

5. Each delegate shall be entitled to one (1) vote with regard to the promulgation of

Commission within 60 days.

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336 Rules and creation of bylaws and shall otherwise have an opportunity to participate 337 in the business and affairs of the Commission. 338 6. A delegate shall vote in person or by such other means as provided in the bylaws. 339 The bylaws may provide for delegates' participation in meetings by telephone or 340 other means of communication. 341 7. The Commission shall meet at least once during each calendar year. Additional 342 meetings shall be held as set forth in the bylaws. 343 8. The Commission shall by Rule establish a term of office for delegates and may by 344 Rule establish term limits. 345 C. The Commission shall have the following powers and duties: 346 1. Establish the fiscal year of the Commission; 347 2. Establish bylaws; 348 3. Maintain its financial records in accordance with the bylaws; 349 4. Meet and take such actions as are consistent with the provisions of this Compact 350 and the bylaws; 351 5. Promulgate Rules which shall be binding to the extent and in the manner provided 352 for in the Compact; 353 6. Bring and prosecute legal proceedings or actions in the name of the Commission, 354 provided that the standing of any State Licensing Board to sue or be sued under 355 applicable law shall not be affected; 356 7. Purchase and maintain insurance and bonds; 357 8. Borrow, accept, or contract for services of personnel, including, but not limited to, 358 employees of a Member State; 359 9. Hire employees, elect or appoint officers, fix compensation, define duties, grant such 360 individuals appropriate authority to carry out the purposes of the Compact, and 361 establish the Commission's personnel policies and programs relating to conflicts of 362 interest, qualifications of personnel, and other related personnel matters;

363 10. Accept any and all appropriate donations and grants of money, equipment, supplies, 364 materials, and services, and to receive, utilize, and dispose of the same; provided 365 that at all times the Commission shall avoid any appearance of impropriety and/or 366 conflict of interest: 367 11. Lease, purchase, accept appropriate gifts or donations of, or otherwise to own, hold, 368 improve or use, any property, real, personal or mixed; provided that at all times the 369 Commission shall avoid any appearance of impropriety; 370 12. Sell convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of 371 any property real, personal, or mixed; 372 13. Establish a budget and make expenditures; 373 14. Borrow money: 374 15. Appoint committees, including standing committees composed of members, State 375 regulators, State legislators or their representatives, and consumer representatives, 376 and such other interested persons as may be designated in this Compact and the 377 bylaws; 378 16. Provide and receive information from, and cooperate with, law enforcement 379 agencies; 380 17. Establish and elect an Executive Committee; and 381 18. Perform such other functions as may be necessary or appropriate to achieve the 382 purposes of this Compact consistent with the State regulation of Professional 383 Counseling licensure and practice. 384 D. The Executive Committee 385 1. The Executive Committee shall have the power to act on behalf of the Commission 386 according to the terms of this Compact. 387 2. The Executive Committee shall be composed of up to eleven (11) members: 388 a. Seven voting members who are elected by the Commission from the current 389 membership of the Commission; and 390 b. Up to four (4) ex-officio, nonvoting members from four (4) recognized national

391			professional counselor organizations.
392		C.	The ex-officio members will be selected by their respective organizations.
393 394	3.		Commission may remove any member of the Executive Committee as provided laws.
395	4.	The	Executive Committee shall meet at least annually.
396	5.	The	Executive Committee shall have the following duties and responsibilities:
397 398 399 400		a.	Recommend to the entire Commission changes to the Rules or bylaws, changes to this Compact legislation, fees paid by Compact Member States such as annual dues, and any Commission Compact fee charged to Licensees for the Privilege to Practice;
401 402		b.	Ensure Compact administration services are appropriately provided, contractual or otherwise;
403		C.	Prepare and recommend the budget;
404		d.	Maintain financial records on behalf of the Commission;
405 406		e.	Monitor Compact compliance of Member States and provide compliance reports to the Commission;
407		f.	Establish additional committees as necessary; and
408		g.	Other duties as provided in Rules or bylaws.
409	E. Meeti	ngs of	the Commission
410 411	1.		neetings shall be open to the public, and public notice of meetings shall be given a same manner as required under the Rulemaking provisions in Section 11.
412 413 414	2.	Com	Commission or the Executive Committee or other committees of the mission may convene in a closed, non-public meeting if the Commission or cutive Committee or other committees of the Commission must discuss:
415		a.	Non-compliance of a Member State with its obligations under the Compact;

- 416 b. The employment, compensation, discipline or other matters, practices or 417 procedures related to specific employees or other matters related to the 418 Commission's internal personnel practices and procedures; 419 Current, threatened, or reasonably anticipated litigation: C. 420 d. Negotiation of contracts for the purchase, lease, or sale of goods, services, or 421 real estate; 422 Accusing any person of a crime or formally censuring any person; e. 423 f. Disclosure of trade secrets or commercial or financial information that is 424 privileged or confidential: 425 Disclosure of information of a personal nature where disclosure would g. 426 constitute a clearly unwarranted invasion of personal privacy; 427 h. Disclosure of investigative records compiled for law enforcement purposes; 428 i. Disclosure of information related to any investigative reports prepared by or 429 on behalf of or for use of the Commission or other committee charged with 430 responsibility of investigation or determination of compliance issues pursuant 431 to the Compact; or 432 Matters specifically exempted from disclosure by federal or Member State j. 433 statute. 434 3. If a meeting, or portion of a meeting, is closed pursuant to this provision, the 435 Commission's legal counsel or designee shall certify that the meeting may be closed 436 and shall reference each relevant exempting provision. 437 4. The Commission shall keep minutes that fully and clearly describe all matters 438 discussed in a meeting and shall provide a full and accurate summary of actions 439 taken, and the reasons therefore, including a description of the views expressed. All 440 documents considered in connection with an action shall be identified in such 441 minutes. All minutes and documents of a closed meeting shall remain under seal, 442 subject to release by a majority vote of the Commission or order of a court of 443 competent jurisdiction.
 - F. Financing of the Commission

- The Commission shall pay, or provide for the payment of, the reasonable expenses
 of its establishment, organization, and ongoing activities.
 - 2. The Commission may accept any and all appropriate revenue sources, donations, and grants of money, equipment, supplies, materials, and services.
 - 3. The Commission may levy on and collect an annual assessment from each Member State or impose fees on other parties to cover the cost of the operations and activities of the Commission and its staff, which must be in a total amount sufficient to cover its annual budget as approved each year for which revenue is not provided by other sources. The aggregate annual assessment amount shall be allocated based upon a formula to be determined by the Commission, which shall promulgate a Rule binding upon all Member States.
 - 4. The Commission shall not incur obligations of any kind prior to securing the funds adequate to meet the same; nor shall the Commission pledge the credit of any of the Member States, except by and with the authority of the Member State.
 - 5. The Commission shall keep accurate accounts of all receipts and disbursements. The receipts and disbursements of the Commission shall be subject to the audit and accounting procedures established under its bylaws. However, all receipts and disbursements of funds handled by the Commission shall be audited yearly by a certified or licensed public accountant, and the report of the audit shall be included in and become part of the annual report of the Commission.

G. Qualified Immunity, Defense, and Indemnification

1. The members, officers, executive director, employees and representatives of the Commission shall be immune from suit and liability, either personally or in their official capacity, for any claim for damage to or loss of property or personal injury or other civil liability caused by or arising out of any actual or alleged act, error or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties or responsibilities; provided that nothing in this paragraph shall be construed to protect any such person from suit and/or liability for any damage, loss, injury, or liability caused by the intentional or willful or wanton misconduct of that person.

- 2. The Commission shall defend any member, officer, executive director, employee or representative of the Commission in any civil action seeking to impose liability arising out of any actual or alleged act, error, or omission that occurred within the scope of Commission employment, duties, or responsibilities, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities; provided that nothing herein shall be construed to prohibit that person from retaining his or her own counsel; and provided further, that the actual or alleged act, error, or omission did not result from that person's intentional or willful or wanton misconduct.
- 3. The Commission shall indemnify and hold harmless any member, officer, executive director, employee, or representative of the Commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error, or omission that occurred within the scope of Commission employment, duties, or responsibilities, or that such person had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities, provided that the actual or alleged act, error, or omission did not result from the intentional or willful or wanton misconduct of that person.

SECTION 10. DATA SYSTEM

- A. The Commission shall provide for the development, maintenance, operation, and utilization of a coordinated database and reporting system containing licensure, Adverse Action, and Investigative Information on all licensed individuals in Member States.
- B. Notwithstanding any other provision of State law to the contrary, a Member State shall submit a uniform data set to the Data System on all individuals to whom this Compact is applicable as required by the Rules of the Commission, including:
 - 1. Identifying information;
 - Licensure data:
 - 3. Adverse Actions against a license or Privilege to Practice;
- 503 4. Non-confidential information related to Alternative Program participation:
 - 5. Any denial of application for licensure, and the reason(s) for such denial;

- 505 6. Current Significant Investigative Information; and
- 7. Other information that may facilitate the administration of this Compact, asdetermined by the Rules of the Commission.
- 508 C. Investigative Information pertaining to a Licensee in any Member State will only be available to other Member States.
- D. The Commission shall promptly notify all Member States of any Adverse Action taken
 against a Licensee or an individual applying for a license. Adverse Action information
 pertaining to a Licensee in any Member State will be available to any other Member State.
- 513 E. Member States contributing information to the Data System may designate information that may not be shared with the public without the express permission of the contributing State.
- F. Any information submitted to the Data System that is subsequently required to be expunged by the laws of the Member State contributing the information shall be removed from the Data System.

SECTION 11. RULEMAKING

- A. The Commission shall promulgate reasonable Rules in order to effectively and efficiently achieve the purpose of the Compact. Notwithstanding the foregoing, in the event the Commission exercises its Rulemaking authority in a manner that is beyond the scope of the purposes of the Compact, or the powers granted hereunder, then such an action by the Commission shall be invalid and have no force or effect.
- B. The Commission shall exercise its Rulemaking powers pursuant to the criteria set forth in this Section and the Rules adopted thereunder. Rules and amendments shall become binding as of the date specified in each Rule or amendment.
- C. If a majority of the legislatures of the Member States rejects a Rule, by enactment of a
 statute or resolution in the same manner used to adopt the Compact within four (4) years of
 the date of adoption of the Rule, then such Rule shall have no further force and effect in any
 Member State.
- D. Rules or amendments to the Rules shall be adopted at a regular or special meeting of the Commission.

- 533 E. Prior to promulgation and adoption of a final Rule or Rules by the Commission, and at least thirty (30) days in advance of the meeting at which the Rule will be considered and voted upon, the Commission shall file a Notice of Proposed Rulemaking:
 - 1. On the website of the Commission or other publicly accessible platform; and
 - On the website of each Member State Professional Counseling Licensing Board or other publicly accessible platform or the publication in which each State would otherwise publish proposed Rules.
- 540 F. The Notice of Proposed Rulemaking shall include:

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- 1. The proposed time, date, and location of the meeting in which the Rule will be considered and voted upon;
- 2. The text of the proposed Rule or amendment and the reason for the proposed Rule;
- 3. A request for comments on the proposed Rule from any interested person; and
- 4. The manner in which interested persons may submit notice to the Commission of their intention to attend the public hearing and any written comments.
- G. Prior to adoption of a proposed Rule, the Commission shall allow persons to submit written data, facts, opinions, and arguments, which shall be made available to the public.
- H. The Commission shall grant an opportunity for a public hearing before it adopts a Rule or amendment if a hearing is requested by:
 - At least twenty-five (25) persons;
- 2. A State or federal governmental subdivision or agency; or
- 3. An association having at least twenty-five (25) members.
 - I. If a hearing is held on the proposed Rule or amendment, the Commission shall publish the place, time, and date of the scheduled public hearing. If the hearing is held via electronic means, the Commission shall publish the mechanism for access to the electronic hearing.
 - 1. All persons wishing to be heard at the hearing shall notify the executive director of the Commission or other designated member in writing of their desire to appear and

559 testify at the hearing not less than five (5) business days before the scheduled date 560 of the hearing. 561 2. Hearings shall be conducted in a manner providing each person who wishes to 562 comment a fair and reasonable opportunity to comment orally or in writing. 563 3. All hearings will be recorded. A copy of the recording will be made available on 564 request. 565 4. Nothing in this section shall be construed as requiring a separate hearing on each 566 Rule. Rules may be grouped for the convenience of the Commission at hearings 567 required by this section. 568 J. Following the scheduled hearing date, or by the close of business on the scheduled hearing 569 date if the hearing was not held, the Commission shall consider all written and oral 570 comments received. 571 K. If no written notice of intent to attend the public hearing by interested parties is received, the 572 Commission may proceed with promulgation of the proposed Rule without a public hearing. 573 L. The Commission shall, by majority vote of all members, take final action on the proposed 574 Rule and shall determine the effective date of the Rule, if any, based on the Rulemaking 575 record and the full text of the Rule. 576 M. Upon determination that an emergency exists, the Commission may consider and adopt an 577 emergency Rule without prior notice, opportunity for comment, or hearing, provided that the 578 usual Rulemaking procedures provided in the Compact and in this section shall be 579 retroactively applied to the Rule as soon as reasonably possible, in no event later than 580 ninety (90) days after the effective date of the Rule. For the purposes of this provision, an 581 emergency Rule is one that must be adopted immediately in order to: 582 1. Meet an imminent threat to public health, safety, or welfare; 583 2. Prevent a loss of Commission or Member State funds; 584 3. Meet a deadline for the promulgation of an administrative Rule that is established by 585 federal law or Rule; or

4. Protect public health and safety.

N. The Commission or an authorized committee of the Commission may direct revisions to a previously adopted Rule or amendment for purposes of correcting typographical errors, errors in format, errors in consistency, or grammatical errors. Public notice of any revisions shall be posted on the website of the Commission. The revision shall be subject to challenge by any person for a period of thirty (30) days after posting. The revision may be challenged only on grounds that the revision results in a material change to a Rule. A challenge shall be made in writing and delivered to the chair of the Commission prior to the end of the notice period. If no challenge is made, the revision will take effect without further action. If the revision is challenged, the revision may not take effect without the approval of the Commission.

SECTION 12. OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT

A. Oversight

- The executive, legislative, and judicial branches of State government in each
 Member State shall enforce this Compact and take all actions necessary and
 appropriate to effectuate the Compact's purposes and intent. The provisions of this
 Compact and the Rules promulgated hereunder shall have standing as statutory law.
- All courts shall take judicial notice of the Compact and the Rules in any judicial or administrative proceeding in a Member State pertaining to the subject matter of this Compact which may affect the powers, responsibilities, or actions of the Commission.
- 3. The Commission shall be entitled to receive service of process in any such proceeding and shall have standing to intervene in such a proceeding for all purposes. Failure to provide service of process to the Commission shall render a judgment or order void as to the Commission, this Compact, or promulgated Rules.

B. Default, Technical Assistance, and Termination

 If the Commission determines that a Member State has defaulted in the performance of its obligations or responsibilities under this Compact or the promulgated Rules, the Commission shall: 615 a. Provide written notice to the defaulting State and other Member States of the 616 nature of the default, the proposed means of curing the default and/or any 617 other action to be taken by the Commission; and 618 b. Provide remedial training and specific technical assistance regarding the 619 default. 620 C. If a State in default fails to cure the default, the defaulting State may be terminated from the 621 Compact upon an affirmative vote of a majority of the Member States, and all rights, 622 privileges and benefits conferred by this Compact may be terminated on the effective date of 623 termination. A cure of the default does not relieve the offending State of obligations or 624 liabilities incurred during the period of default. 625 D. Termination of membership in the Compact shall be imposed only after all other means of 626 securing compliance have been exhausted. Notice of intent to suspend or terminate shall be 627 given by the Commission to the governor, the majority and minority leaders of the defaulting 628 State's legislature, and each of the Member States. 629 E. A State that has been terminated is responsible for all assessments, obligations, and 630 liabilities incurred through the effective date of termination, including obligations that extend 631 beyond the effective date of termination. 632 F. The Commission shall not bear any costs related to a State that is found to be in default or 633 that has been terminated from the Compact, unless agreed upon in writing between the 634 Commission and the defaulting State. 635 G. The defaulting State may appeal the action of the Commission by petitioning the U.S. 636 District Court for the District of Columbia or the federal district where the Commission has its 637 principal offices. The prevailing member shall be awarded all costs of such litigation, 638 including reasonable attorney's fees. 639 H. Dispute Resolution 640 1. Upon request by a Member State, the Commission shall attempt to resolve disputes

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related to the Compact that arise among Member States and between member and

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non-Member States.

2. The Commission shall promulgate a Rule providing for both mediation and binding dispute resolution for disputes as appropriate.

I. Enforcement

- 1. The Commission, in the reasonable exercise of its discretion, shall enforce the provisions and Rules of this Compact.
- 2. By majority vote, the Commission may initiate legal action in the United States District Court for the District of Columbia or the federal district where the Commission has its principal offices against a Member State in default to enforce compliance with the provisions of the Compact and its promulgated Rules and bylaws. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing member shall be awarded all costs of such litigation, including reasonable attorney's fees.
- 3. The remedies herein shall not be the exclusive remedies of the Commission. The Commission may pursue any other remedies available under federal or State law.

SECTION 13. DATE OF IMPLEMENTATION OF THE COUNSELING COMPACT COMMISSION AND ASSOCIATED RULES, WITHDRAWAL, AND AMENDMENT

- A. The Compact shall come into effect on the date on which the Compact statute is enacted into law in the tenth Member State. The provisions, which become effective at that time, shall be limited to the powers granted to the Commission relating to assembly and the promulgation of Rules. Thereafter, the Commission shall meet and exercise Rulemaking powers necessary to the implementation and administration of the Compact.
- B. Any State that joins the Compact subsequent to the Commission's initial adoption of the Rules shall be subject to the Rules as they exist on the date on which the Compact becomes law in that State. Any Rule that has been previously adopted by the Commission shall have the full force and effect of law on the day the Compact becomes law in that State.
- C. Any Member State may withdraw from this Compact by enacting a statute repealing the same.
 - 1. A Member State's withdrawal shall not take effect until six (6) months after enactment of the repealing statute.

- 2. Withdrawal shall not affect the continuing requirement of the withdrawing State's Professional Counseling Licensing Board to comply with the investigative and Adverse Action reporting requirements of this act prior to the effective date of withdrawal.
- D. Nothing contained in this Compact shall be construed to invalidate or prevent any
 Professional Counseling licensure agreement or other cooperative arrangement between a
 Member State and a non-Member State that does not conflict with the provisions of this
 Compact.
- 680 E. This Compact may be amended by the Member States. No amendment to this Compact 681 shall become effective and binding upon any Member State until it is enacted into the laws 682 of all Member States.

SECTION 14. CONSTRUCTION AND SEVERABILITY

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This Compact shall be liberally construed so as to effectuate the purposes thereof. The provisions of this Compact shall be severable and if any phrase, clause, sentence or provision of this Compact is declared to be contrary to the constitution of any Member State or of the United States or the applicability thereof to any government, agency, person or circumstance is held invalid, the validity of the remainder of this Compact and the applicability thereof to any government, agency, person or circumstance shall not be affected thereby. If this Compact shall be held contrary to the constitution of any Member State, the Compact shall remain in full force and effect as to the remaining Member States and in full force and effect as to the Member State affected as to all severable matters.

SECTION 15. BINDING EFFECT OF COMPACT AND OTHER LAWS

- A. A Licensee providing Professional Counseling services in a Remote State under the
 Privilege to Practice shall adhere to the laws and regulations, including scope of practice, of
 the Remote State.
- B. Nothing herein prevents the enforcement of any other law of a Member State that is not inconsistent with the Compact.
- 699 C. Any laws in a Member State in conflict with the Compact are superseded to the extent of the conflict.

- D. Any lawful actions of the Commission, including all Rules and bylaws properly
 promulgated by the Commission, are binding upon the Member States.
- 703 E. All permissible agreements between the Commission and the Member States are
 704 binding in accordance with their terms.
- F. In the event any provision of the Compact exceeds the constitutional limits imposed on the
 legislature of any Member State, the provision shall be ineffective to the extent of the conflict
 with the constitutional provision in question in that Member State.

Guidance document: 115-8 Adopted: November 3, 2017 Revised: February 9, 2018

Board of Counseling

Approved Degrees in Human Services and Related Fields for QMHP Registration

Regulations for the Virginia Board of Counseling provide in 18VAC115-80-40 that a person may qualify as a QMHP-A with a "master's or bachelor's degree in human services or a related field from an accredited college." Section 18VAC115-80-50 provides that "a person may qualify as a QMHP-C with a "master's or bachelor's degree in human services or in special education from an accredited college."

The Board recognizes the following degrees as "human services or related fields:"

Art Therapy

Behavioral Sciences

Child Development

Child and Family Studies/Services

Cognitive Sciences

Community Mental Health

Counseling (Mental health, Vocational, Pastoral, etc.)

Counselor Education

Early Childhood Development

Education (with a focus in psychology and/or special education)

Educational Psychology

Family Development/Relations

Gerontology

Health and Human Services

Human Development

Human Services

Marriage and Family Therapy

Music Therapy

Nursing

Psychiatric Rehabilitation

Psychology

Rehabilitation Counseling

School Counseling

Social Work

Special Education

Therapeutic Recreation

Vocational Rehabilitation

Sociology – (accepted until May 31, 2021)

The Board may consider other degrees in human services or in fields related to the provision of mental health services.

§ 54.1-2400. General powers and duties of health regulatory boards.

The general powers and duties of health regulatory boards shall be:

- 1. To establish the qualifications for registration, certification, licensure, permit, or the issuance of a multistate licensure privilege in accordance with the applicable law which are necessary to ensure competence and integrity to engage in the regulated professions.
- 2. To examine or cause to be examined applicants for certification, licensure, or registration. Unless otherwise required by law, examinations shall be administered in writing or shall be a demonstration of manual skills.
- 3. To register, certify, license, or issue a multistate licensure privilege to qualified applicants as practitioners of the particular profession or professions regulated by such board.
- 4. To establish schedules for renewals of registration, certification, licensure, permit, and the issuance of a multistate licensure privilege.
- 5. To levy and collect fees for application processing, examination, registration, certification, permitting, or licensure or the issuance of a multistate licensure privilege and renewal that are sufficient to cover all expenses for the administration and operation of the Department of Health Professions, the Board of Health Professions, and the health regulatory boards.
- 6. To promulgate regulations in accordance with the Administrative Process Act (§ 2.2-4000 et seq.) that are reasonable and necessary to administer effectively the regulatory system, which shall include provisions for the satisfaction of board-required continuing education for individuals registered, certified, licensed, or issued a multistate licensure privilege by a health regulatory board through delivery of health care services, without compensation, to low-income individuals receiving health services through a local health department or a free clinic organized in whole or primarily for the delivery of those health services. Such regulations shall not conflict with the purposes and intent of this chapter or of Chapter 1 (§ 54.1-100 et seq.) and Chapter 25 (§ 54.1-2500 et seq.).
- 7. To revoke, suspend, restrict, or refuse to issue or renew a registration, certificate, license, permit, or multistate licensure privilege which such board has authority to issue for causes enumerated in applicable law and regulations.
- 8. To appoint designees from their membership or immediate staff to coordinate with the Director and the Health Practitioners' Monitoring Program Committee and to implement, as is necessary, the provisions of Chapter 25.1 (§ 54.1-2515 et seq.). Each health regulatory board shall appoint one such designee.
- 9. To take appropriate disciplinary action for violations of applicable law and regulations, and to accept, in their discretion, the surrender of a license, certificate, registration, permit, or multistate licensure privilege in lieu of disciplinary action. 4
- 10. To appoint a special conference committee, composed of not less than two members of a health regulatory board or, when required for special conference committees of the Board of Medicine, not less than two members of the Board and one member of the relevant advisory board, or, when required for special conference committees of the Board of Nursing, not less than one member of the Board and one member of the relevant advisory board, to act in accordance with § 2.2-4019 upon receipt of information that a practitioner or permit holder of the appropriate board may be subject to disciplinary action or to consider an application for a license, certification, registration, permit or multistate licensure privilege in nursing. The special conference committee may (i) exonerate; (ii) reinstate; (iii) place the practitioner or permit holder on probation with such terms as it may deem appropriate; (iv) reprimand; (v) modify a previous order; (vi) impose a monetary penalty pursuant to § 54.1-2401, (vii) deny or grant an application for licensure, certification, registration, permit, or multistate licensure privilege; and (viii) issue a restricted

license, certification, registration, permit or multistate licensure privilege subject to terms and conditions. The order of the special conference committee shall become final 30 days after service of the order unless a written request to the board for a hearing is received within such time. If service of the decision to a party is accomplished by mail, three days shall be added to the 30-day period. Upon receiving a timely written request for a hearing, the board or a panel of the board shall then proceed with a hearing as provided in § 2.2-4020, and the action of the committee shall be vacated. This subdivision shall not be construed to limit the authority of a board to delegate to an appropriately qualified agency subordinate, as defined in § 2.2-4001, the authority to conduct informal fact-finding proceedings in accordance with § 2.2-4019, upon receipt of information that a practitioner may be subject to a disciplinary action. The recommendation of such subordinate may be considered by a panel consisting of at least five board members, or, if a quorum of the board is less than five members, consisting of a quorum of the members, convened for the purpose of issuing a case decision. Criteria for the appointment of an agency subordinate shall be set forth in regulations adopted by the board.

Commonwealth of Virginia



REGULATIONS

GOVERNING DELEGATION TO AN AGENCY SUBORDINATE

VIRGINIA BOARD OF COUNSELING

Title of Regulations: 18 VAC 115-15-10 et seq.

Statutory Authority: §§ 54.1-2400 and Chapter 35 of Title 54.1 of the *Code of Virginia*

Revised Date: December 12, 2019

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18VAC115-15-10. Decision to delegate.

In accordance with § 54.1-2400 (10) of the Code of Virginia, the board may delegate an informal fact-finding proceeding to an agency subordinate upon determination that probable cause exists that a practitioner may be subject to a disciplinary action.

18VAC115-15-20. Criteria for delegation.

Cases that may not be delegated to an agency subordinate include violations of standards of practice as set forth in regulations governing each profession registered, certified, or licensed by the board, except as may otherwise be determined by the executive director in consultation with the board chair.

18VAC115-15-30. Criteria for an agency subordinate.

- 1. An agency subordinate authorized by the board to conduct an informal fact-finding proceeding may include board members and professional staff or other persons deemed knowledgeable by virtue of their training and experience in administrative proceedings involving the regulation and discipline of health professionals.
- 2. The executive director shall maintain a list of appropriately qualified persons to whom an informal fact-finding proceeding may be delegated.
- 3. The board may delegate to the executive director the selection of the agency subordinate who is deemed appropriately qualified to conduct a proceeding based on the qualifications of the subordinate and the type of case being heard.